

**STANDING SUBSCRIPTION INSTRUCTION 常設申購指示書**

Note 注意: 1. This form applicable to existing clients only. 此表格只適用於現有客戶。

Application Date 申請日期: \_\_\_\_\_

(DD日/MM月/YYYY年)

2. Please complete in block letters and fax/mail this form to our branch 請填妥本表格並將其傳真/郵寄寄本行。

**1. Accountholder Particulars 投資帳戶持有人資料**

Name of Investment Account 投資帳戶戶名	Investment Account No. 投資帳戶號碼 941-1-88-□□□□□□-□
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**2. Subscription Instruction 申購指示**

**3. Debit Authorization 扣帳授權**

Fund Code 基金代碼	Fund Name 基金名稱	Currency 幣別	Dividend Type 收益分配方式	Account to be debited 扣款帳戶	Amount 扣帳金額	Fee Rate 手續費率	Payment Date 扣帳日
□□-□□□□			<input type="checkbox"/> Cash Out 支取現金 <input type="checkbox"/> Reinvestment 再投資	常設結算 帳戶			The □06/□16/□26 day of each month  每月□06/□16/□26日

**4. Authorization and Signature 授權及簽署**

- I/We agree and acknowledge that this standing subscription instruction Form is issued subject to all terms and conditions applicable to investment account established and maintained with you. 本人/本人等同意及確認本常設申購指示的發出受限於所有適用於開立及維持在實行之投資帳戶的章程及條款所約束。
- I/We confirm that the answers stated in my/our latest Questionnaire of Investment Risk Analysis reflect my/our current status. 本人/本人等確認於本人/本人等最近期的投資屬性評估問卷所提供的答案反映本人/本人等之現況。
- I/We agree and acknowledge that you are authorized to subscribe the above fund(s) for me/us on the above specified payment date and in the specified amount above subject to and in accordance with the terms and conditions herein. 本人/本人等同意及確認授權貴行根據本常設申購指示的條款及細則於上述指定的扣帳日以上述指定的扣帳金額申購上述基金。
- I/We agree that you are authorized to debit the above account(s) with the above amount on the payment date or on the immediately following business day if the payment date falls on a non-business day for the purpose of the subscription of the above fund(s) on each and every payment date; I/We undertake to deposit sufficient and cleared funds to the account at least one business day before the specified payment date. Should the credit sum on the above account(s) be insufficient to meet the debit authorized hereunder on the payment date, I/We agree to authorize you (but you are not obliged) to subscribe such fund in such manner as you shall in your absolute discretion consider fit. In the event you are prohibited from executing subscription instruction for non-availability of your computer system or causes beyond your control, I/We agree that you are entitled to subscribe and debit my/our account(s) pursuant to this subscription instruction on the business day upon which your computer system is recovered or the causes have ceased to exist. 本人/本人等承諾將於指定扣帳日之前一個營業日於扣帳戶存放足夠及已清算款項。倘若上述扣帳戶的存款餘額不足以支付扣帳金額，貴行可絕對酌情(但非必須)以貴行認為合適的方式為本人/本人等申購上述基金。如遇貴行電腦系統故障或其他貴行控制範圍以外的原因，致使貴行未能執行本申購指示，本人/本人等同意貴行可於電腦系統復原或其他貴行控制範圍以外的原因已消除的跟隨的營業日，為本人/本人等執行本申購指示及扣取扣帳金額。
- I/We agree that, if I/We wish to activate the standing subscription instruction, switch the fund(s), revise the amount invested, terminate or restore standing subscription herein on the forthcoming payment date, I/We are required to complete and sign the forms prescribed by you and the prescribed forms duly completed and signed should be actually received by you at least one day before the relevant payment date. 本人/本人等同意，如欲於即將屆臨的扣帳日啟動常設申購指示、轉換基金、修改投資金額、撤銷或回復常設申購指示等事項時，貴行須於指定扣帳日之前一個營業日已確實收到本人/本人等已妥為簽署的由貴行指定的表格。
- If you cannot effect the debit authorized hereunder on three consecutive payment dates as a result of my/our account's deficiency in balance, this standing subscription instruction shall be deemed to have revoked. Should I/We wish to restore the subscription instruction, I/We are required to complete and sign the prescribed forms for restoration of the subscription instruction. 倘若貴行於連續三個扣帳日均因本人/本人等的扣帳戶未留存足夠扣帳金額而未能扣帳，則本常設申購指示將視作被撤銷。本人/本人等如需恢復申購指示，須另行填寫及簽署指定的表格，辦理恢復申購指示。
- I/We agree and understand that you accept no responsibility for failure to follow and/or act on this standing subscription instructions for whatsoever reason save for your negligence or willful neglect. 本人/本人等同意及明白，除因貴行疏忽或故意失責外，貴行毋須因任何原因而未能執行或遵從本常設申購指示而承擔任何責任。
- I/We acknowledge that you are non-independent intermediary and acting as agent. 本人/本人等知道貴行非為獨立中介人，並以代理人行事。
- I/We acknowledge that you have no affiliation and other legal and economic relationships with the product issuer(s). 本人/本人等知道貴行與產品發行人沒有聯繫，且沒有其他法律及經濟的關係。
- I/We acknowledge that in addition to the product issuer fees payable to you as described in paragraph 11 hereinafter, you will charge not more than 5% of the investment amount as the handling charges from me/us. 本人/本人等知悉貴行除收取下列第11段所述及的產品發行人費用外，將亦會從本人/本人等處收取不多於5%作為手續費。
- I/We understand and agree that in relation to the distribution of the above fund(s) to me/us, you may from time to time receive fees, remuneration or benefits (including, without limitation, commissions/trailer fees/incentives) from others (including, without limitation, product issuers/providers) and in particular, you may receive remuneration for distributing the funds up to 3% of the total investment market value as remuneration per year throughout the term of investment. 本人/本人等知悉及同意，就向本人/本人等分銷上述基金而言，貴行可能不時由其他人士(包括但不限於產品發行人/提供者處)收取費用、報酬或利益(包括但不限於佣金/追蹤費用/獎金)，特別是，貴行可獲收益最高不多於總投資金額市值之3%，作為貴行於本人/本人等的整段投資期間內每年持續收取的收益。
- I/We acknowledge that from time to time, you may, in your sole and absolute discretion, offer me/us a discount on the fees and/or charges payable by me/us when executing orders with respect to the above fund(s) for me/us. In determining the appropriateness as to offering a discount to me/us, you will consider, and take into account, a number of factors which include, without limitation, the nature of the above fund(s) and the fee or commission arrangements you may have with the product issuer(s) of the above fund(s). Unless otherwise specified by you, you do not offer any discount of the fees and/or charges payable by me/us. 本人/本人等確認，貴行可不時按其單一及絕對酌情權決定，向本人/本人等提供為本人/本人等執行上述基金的指示時需由本人/本人等支付的費用及/或收費之折扣。於決定提供折扣予本人/本人等的合適性時，貴行會考慮各項因素，包括但不限於上述基金的性質；及貴行就上述基金與產品發行人的費用或佣金安排等，除非貴行另有規定，否則不需由本人/本人等支付的費用及/或收費提供任何折扣。
- I/We understand and agree that any transaction deemed to be high frequent trading of investment funds (HFTIF) will influence portfolio management policy and incur additional costs. Therefore, certain fund houses and agents stipulate minimum holding period of investment (The period in your bank is 30 calendar days). In addition, fund houses reserve the rights to permit the fund subscription or the fund switch and to charge pro rata fees of those HFTIF transactions. Nonetheless, in the case that investment orders based on my/our own judgement and discretion is deemed to be HFTIF, I/We agree that additional fees might be charged and I/We might bear the adverse investment consequence(s) caused by such transaction(s). 本人/本人等確認已知悉並瞭解基金頻密交易會影響投資組合管理政策及增加交易費用，故基金公司及銷售機構皆訂有相關政策監控基金持有期間未滿一定天數之交易(貴行將持有期間未滿30天之交易視為頻密交易)，並保留包括拒絕接受申購、轉換交易或另加收交易手續費用之權利，惟本人/本人等之交易指示係基於自身判斷與決定，且已瞭解頻密交易可能必須額外支付相關費用或影響投資效益。
- I/We confirm and acknowledge that I/We have well received the latest offering documents and the subscription documents in relation to the fund(s) subscribed including  fact sheet  product key facts statement  prospectus  financial report  other: \_\_\_\_\_ . Further, I/We have read and am/are capable of understanding the terms and conditions set out in the above documents, including, without limitation, the risk and returns associated with the fund subscribed, and the charges relating thereto (if any). I/We confirm that I/We agree to be bound by the terms therein and decide to proceed with my/our subscription. 本人/本人等確認及承認，本人/本人等已妥為收取上述認購的基金之最新銷售文件及申購文件，包括  銷售單張  產品資料概要  公開說明書  財務報告  其他: \_\_\_\_\_ 。此外，本人/本人等已閱讀及有能力理解於上述文件中載列的條款及條款(包括但不限基金涉及的風險及回報及其收費(如有))。本人/本人等確認同意受上述文件的條款約束而決定進行申購。

**5. Disclose of Transaction-Related Information 交易相關資料披露**

Customer / Attorney Signature(s) 客戶/ 被授權人簽署 Sole/Principal Accountholder 單一/首名帳戶持有人	Joint Accountholder Signature (if Applicable) 聯名帳戶持有人簽署 (如適用)
S.V.	S.V.

**For Bank Use Only (銀行專用)**

<input type="checkbox"/> 財管人員做商品解說，客戶已瞭解投資商品之有關風險。	EXT. 使用分機	Confirmation Time 確認時間
Transaction Confirmation 交易確認	Data Input 資料輸入員	Checked By Supervisor 主管檢核
		Date 日期