

SUBSCRIPTION INSTRUCTION FORM 申購指示書

Note 注意: 1. This form is applicable to the existing clients only. 此表格只適用於現有客戶。

Application Date 申請日期: _____

2. Please complete in block letters and fax/mail this form to our branch 請填妥本表格並將其傳真/郵寄予本行。

(DD日/MM月/YYYY年)

1.Accountholder Particulars 投資帳戶持有人資料

| | |
|--------------------------------------|-------------------|
| Investment Account No. 投資帳戶號碼 | 941-1-88-□□□□□□-□ |
| Name of Investment Account 投資帳戶戶名 | |

2.Subscription Instruction 申購指示

| Fund Code 基金代碼 | Fund Name 基金名稱 | Currency 幣別 | Investment Amount 投資金額 | Fee Rate 手續費率 | Commission Collect Type 手續費收取方式 | Dividend Type 收益分配方式 |
|-------------------|-------------------|----------------|---------------------------|------------------|---|---|
| □□-□□□□ | | | | | <input type="checkbox"/> Separate 外收 <input type="checkbox"/> Combine 內扣 | <input type="checkbox"/> Cash Out 支取現金 <input type="checkbox"/> Reinvestment 再投資 |

3.Account To Be Debited 付款帳號

Completion of this section is not required when the subscription funds are to be debited from the Standing Settlement Account. 倘若申購款項於常設結算帳戶扣除, 則毋須填備此部份。

4.Declaration and Signature 聲明及簽署

- I/We agree and acknowledge that this Subscription Instruction Form is issued subject to all terms and conditions applicable to investment account established and maintained with you. 本人/本人等同意及確認本申購指示書的發出受限於所有適用於開立及維持在貴行之投資帳戶的章程及條款所約束。
- I/We confirm that the answers stated in my/our latest Questionnaire of Investment Risk Analysis reflect my/our current status. 本人/本人等確認於本人/本人等最近期的投資屬性評估問卷所提供的答案反映本人/本人等之現況。
- I/We agree and understand that you accept no responsibility for failure to follow and/or act on this Subscription Instruction Form save for your negligence or willful neglect. 本人/本人等同意及明白, 除因貴行疏忽或故意失責外, 貴行毋須因未能執行或遵從本申購指示而承擔任何責任。
- I/We acknowledge that you are non-independent intermediary and acting as agent. 本人/本人等知道貴行非為獨立中介人, 並以代理人行事。
- I/We acknowledge that you have no affiliation and other legal and economic relationships with the product issuer(s). 本人/本人等知道貴行與產品發行人沒有聯繫, 且沒有其他法律及經濟的關係。
- I/We acknowledge that in addition to the product issuer fees payable to you as described in paragraph 7 hereinafter, you will charge not more than 5% of the investment amount as the handling charges from me/us. 本人/本人等知悉貴行除收取下列第7段所述及的產品發行人費用外, 亦會從本人/本人等處收取不多於投資金額的5%作為手續費。
- I/We understand and agree that in relation to the distribution of the above fund(s) to me/us, you may from time to time receive fees, remuneration or benefits (including, without limitation, commissions/trailer fees/incentives) from others (including, without limitation, product issuers/providers) and in particular, you may receive remuneration for distributing the funds up to 3% of the total investment market value as remuneration per year throughout the term of investment. 本人/本人等知悉及同意, 就向本人/本人等分銷上述基金而言, 貴行可能不時由其他人士(包括但不限於產品發行人/提供者)處收取費用、報酬或利益(包括但不限於佣金/追蹤費用/獎金), 特別是, 貴行可獲收益最高不多於總投資金額市值之3%, 作為貴行於本人/本人等的整段投資期間內每年持續收取的收益。
- I/We acknowledge that from time to time, you may, in your sole and absolute discretion, offer me/us a discount on the fees and/or charges payable by me/us when executing orders with respect to the above fund(s) to me/us. In determining the appropriateness as to offering a discount to me/us, you will consider, and take into account, a number of factors which include, without limitation, the nature of the above fund(s) and the fee or commission arrangements you may have with the product issuer(s) of the above fund(s). Unless otherwise specified by you, you do not offer any discount of the fees and/or charges payable by me/us. 本人/本人等確認, 貴行可不時按其單一及絕對酌情權決定, 向本人/本人等提供為本人/本人等執行上述基金的指示時需由本人/本人等支付的費用及/或收費之折扣。於決定提供折扣予本人/本人等的合適性時, 貴行會考慮各項因素, 包括但不限於上述基金的性質; 及貴行就上述基金與產品發行人的費用或佣金安排等, 除非貴行另有規定, 否則不需由本人/本人等支付的費用及/或收費提供任何折扣。
- I/We understand and agree that any transaction deemed to be high frequent trading of investment funds (HFTIF) will influence portfolio management policy and incur additional costs. Therefore, certain fund houses and agents stipulate minimum holding period of investment (The period in your bank is 30 calendar days). In addition, fund houses reserve the rights to permit the fund subscription or the fund switch and to charge pro rata fees of those HFTIF transactions. Nonetheless, in the case that investment orders based on my/our own judgement and discretion is deemed to be HFTIF, I/we agree that additional fees might be charged and I/we might bear the adverse investment consequence(s) caused by such transaction(s). 本人/本人等確認已知悉並瞭解基金頻密交易會影響投資組合管理政策及增加交易費用, 故基金公司及銷售機構皆訂有相關政策監控基金持有期間未滿一定天數之交易(貴行將持有期間未滿30天之交易視為頻密交易), 並保留包括拒絕接受申購、轉換交易或另加收交易手續費用之權利, 惟本人/本人等之交易指示係基於自身判斷與決定, 且已瞭解頻密交易可能必須額外支付相關費用或影響投資效益。
- I/We confirm and acknowledge that I/we have well received the latest offering documents and the subscription documents in relation to the fund(s) subscribed including fact sheet product key facts statement prospectus financial report other: . Further, I/we have read and am/are capable of understanding the terms and conditions set out in the above documents, including, without limitation, the risk and returns associated with the fund subscribed, and the charges relating thereto (if any). I/We confirm that I/We agree to be bound by the terms therein and decide to proceed with my/our subscription. 本人/本人等確認及承認, 本人/本人等已妥為收取上述認購的基金之最新銷售文件及申購文件, 包括 銷售單張 產品資料概要 公開說明書 財務報告 其他: 。此外, 本人/本人等已閱讀及有能力理解於上述文件中載列的條文及條款(包括但不限基金涉及的風險及回報及其收費(如有)). 本人/本人等確認同意受上述文件的條文約束而決定進行申購。

5.Disclose of Transaction-Related Information 交易相關資料披露

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| Customer / Attorney Signature(s) 客戶/ 被授權人簽署 Sole/Principal Accountholder 單一/首名帳戶持有人 | Joint Accountholder Signature (if Applicable) 聯名帳戶持有人簽署 (如適用) |
| S.V. | S.V. |

For Bank Use Only (銀行專用)

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|---|------------------|----------------------------|
| <input type="checkbox"/> 財管人員做商品解說, 客戶已瞭解投資商品之有關風險。 | EXT. 使用分機 | Confirmation Time 確認時間 |
| Transaction Confirmation 交易確認 | Data Input 資料輸入員 | Checked By Supervisor 主管檢核 |
| | | Date 日期 |